



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : **Confirmation No. 2857**

Shouji KAMIYA et al. : Attorney Docket No. 2005\_0042A

Serial No. 10/521,175 : Group Art Unit 1614

Filed March 14, 2005 :

NOVEL INDOLINE COMPOUND AND  
MEDICINAL USE THEREOF

THE COMMISSIONER IS AUTHORIZED  
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FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- each U.S. Patent and U.S. Patent application publication;
- each reference previously cited in the international application PCT/\_\_\_\_\_; and/or
- each reference previously cited in prior parent application Serial No. \_\_\_\_\_.

1a.  This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

**and thus no certification and/or fee is required.**

- 1b.  This Information Disclosure Statement is submitted after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:
  - (1)  the certification of paragraph 2 below is provided, **or**
  - (2)  the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.
  
- 1c.  This Information Disclosure Statement is submitted: after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and**

**the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**
  
2. It is hereby certified
  - a.  that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
  - b.  that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.
  
3.  Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
  - a. [ ] a full or partial English language translation submitted herewith,
  - b. [ ] a foreign patent office search report (in the English language) submitted herewith,
  - c. [ ] the concise explanation contained in the specification of the present application at page,
  - d. [ ] the concise explanation set forth in the attached English language abstract,
  - e. [ ] the concise explanation set forth below or on a separate sheet attached to the reference:
5. [X] A Supplementary European Search Report citing one or more of the references is enclosed.
6. [ ] Statement Under 37 CFR 1.704(d)

Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart application, and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Shouji KAMIYA et al.

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April 25, 2007

## INFORMATION DISCLOSURE STATEMENT

FORM PTO 1449 (*modified*)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
*(Use several sheets if necessary)*

Date Submitted to PTO: April 25, 2007

ATTY DOCKET NO.  
2005\_0042ASERIAL NO.  
10/521,175APPLICANT  
Shouji KAMIYA et al.FILING DATE  
March 14, 2005GROUP  
1614

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	OPPE APR 25 2007					
	AB	OPPE APR 25 2007					
	AC	OPPE APR 25 2007					
	AD	OPPE APR 25 2007					
	AE	OPPE APR 25 2007					
	AF	OPPE APR 25 2007					
	AG	OPPE APR 25 2007					
	AH	OPPE APR 25 2007					
	AI	OPPE APR 25 2007					

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ	2004/096767	11/2004	WO			
	AK	0 782 986	7/1997	EP			
	AL						
	AM						
	AN						

OTHER DOCUMENT(S) *(Including Author, Title, Date, Pertinent Pages, Etc.)*

AO	Database Caplus [Online], Chemical Abstracts Service, Columbus, Ohio, US; Terent'Ev, A.P. et al: "Introduction of Substituents into the Benzene Ring of Indole. IX. 5,6-Dinitro- and 5,6-Diaminoindolines", XP002421806, retrieved from STN Database accession no. 1969:421963, *RNs 21144-84-3, 21144-85-4* & Khimiya Geterotsiklicheskih Soedinenii, (2), 258-61 Coden: KGSSAQ; ISSN: 0132-6244, 1969
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EXAMINER

DATE CONSIDERED